

MEANING OF SIGNATURES / APPROVALS FOR RESEARCH FUNDING

1. **The signature / approval of the Principal Investigator/Applicant affirms that:**
 - a. The information in the application is complete and accurate to the best of the knowledge of the Principal Investigator/Applicant.
 - b. The Principal Investigator/Applicant has sufficient space, time and/or resources to do the research. If additional space, time and/or resources are required the Principal Investigator/Applicant will seek approval from the Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry), as appropriate.
 - c. The Principal Investigator/Applicant will take responsibility for resolving any over-expenditures as per the Manual of Administrative Policies and Procedures (MAPP) policy #7.11 "Over-Expenditure of Research Accounts."
 - d. If an award is made, the Principal Investigator/Applicant agrees to abide by the award regulations of the granting agency/sponsor.
 - e. If an award is made, the Principal Investigator/Applicant will use the award only for the purposes of which the award was made.
 - f. If an award is made, the Principal Investigator/Applicant agrees to abide by The University of Western Ontario's Research Policies, including the requirement of meeting all regulations regarding the use of animal subjects, human subjects and bio-hazardous materials and other Administrative Policies and Procedures (MAPP) as appropriate.
 - g. The Principal Investigator/Applicant will promptly notify Research Development & Services of any change in her/his employment status for the duration of the award.

2. **The signature / approval of the Chair/Department Head*/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) affirms that:**
 - a. The Principal Investigator/Applicant has sufficient space, time and/or resources as consistent with his/her faculty appointment. In addition, the Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) is committed to provide additional resources that have been specifically communicated to the Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) by the Principal Investigator/Applicant in order to conduct the proposed research.
 - b. The Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) acknowledges the research activity described in the proposal, that the activity meets with the Department/Unit's research objectives in consideration of the rights accorded through academic freedom and the "Collective Agreement Between The University of Western Ontario and The University of Western Ontario Faculty Association."
 - c. Any internal Department/Unit requirements have been met.
 - d. The Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) accepts resource and financial commitment entailed by the activity including over-expenditures as per MAPP policy #7.11 "Over-Expenditure of Research Accounts."

* NOTE: For Faculties without Departments the Dean's signature/approval affirms the above criteria in addition to those outlined below.

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Cycle:			Originated: 20-Sep-04
Approved by:		csv mef	Revised: Nov 20, 2007
Cross Ref. #:			Reviewed:

The UNIVERSITY of WESTERN ONTARIO
Research Development & Services - Policies & Procedures

- 3. The signature / approval of the Dean affirms that:**
- a. The Principal Investigator/Applicant has an appropriate academic appointment within her/his unit covering the period of the proposed grant or contract and is eligible to apply according to agency/sponsor guidelines.
 - b. The Dean will fulfill her/his obligations to the funding agency/sponsor. The Dean will ensure that Research Development & Services and the granting agency/sponsor are notified if there is a change in employment status of the awardee.
 - c. Any internal Faculty requirements have been met.
- 4. The signature / approval of an Authorized Signing Authority obtained through Research Development & Services affirms on behalf of The University of Western Ontario (the Institution) that:**
- a. The Principal Investigator / Applicant is eligible to apply for a research program and is eligible to hold a research account at Western.
 - b. If an award is made, after the proposal or application has been signed, The University of Western Ontario is able and willing to administer the funds on behalf of the Sponsor in accordance with the guidelines of the Sponsor and the University.
 - c. The University will ensure that award conditions of the Sponsor and the University have been met (e.g. regulatory requirements on the use of animal subjects, human subjects and bio-hazardous materials).
 - d. In the event that an awardee's employment status with the University changes within the term of the project, Research Development & Services will facilitate notification to the Sponsor in conjunction with the Chair/Department Head/Scientific Director (Robarts Research Institute in the Schulich School of Medicine & Dentistry) and Dean.
 - e. The appropriate parties within the University are aware of the project or the appropriate parties will be made aware of the project at the time of award.

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